



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN M. FARNWORTH  
ALAN G. WOOD  
TRUNG TRI DOAN

Serial No. 10/646,897

ART UNIT: 2822

Filing Date: 08-22-2003

EXAMINER: LEWIS, MONICA

FOR: SEMICONDUCTOR COMPONENT HAVING  
THINNED DIE ENCAPSULATED BY POLYMER  
LAYERS ON SIX SURFACES

Docket No. 01-1059.1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

**JUNE 1, 2005**


MAIL STOP AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge Deposit Account 07-1857.

Respectfully submitted:

  
\_\_\_\_\_  
Stephen A. Gratton  
Registration No. 28,418  
Attorney of Record

2764 South Braun Way  
Lakewood, CO 80228  
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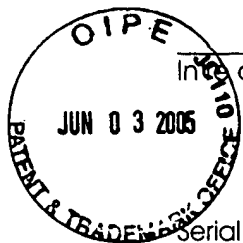
CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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June 1, 2005  
Date of Signature

  
\_\_\_\_\_  
Stephen A. Gratton, Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Introductory application of:  
WARREN M. FARNWORTH  
ALAN G. WOOD  
TRUNG TRI DOAN

Serial No. 10/646,897

ART UNIT: 2822

Filing Date: 08-22-2003

EXAMINER: LEWIS, MONICA

FOR: SEMICONDUCTOR COMPONENT HAVING THINNED DIE  
ENCAPSULATED BY POLYMER LAYERS ON SIX SURFACES

Docket No. 01-1059.1

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

June 1, 2005

Mail Stop AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418  
Attorney of Record

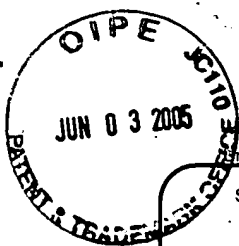
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June 1, 2005  
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Stephen A. Gratton, Attorney of Record



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/646,897
Filing Date	08-22-2003
First Named Inventor	WARREN M. FARNWORTH
Art Unit	2822
Examiner Name	LEWIS, MONICA
Attorney Docket Number	01-1059.1

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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